

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

12/23/97  
1540 U.S.  
Honorable Assistant Commissioner for Patents  
Washington, D.C. 20231  
Patent Application  
S I R:

December 23, 1997

Transmitted herewith for filing are the specification and claims of the patent application of:

David Stern, Shi D. Yan and Benjamin Wolozin \_\_\_\_\_ for  
Inventor(s)

A METHOD FOR EVALUATING THE ABILITY OF A COMPOUND TO INHIBIT NEUROTOXICITY  
Title of Invention

Also enclosed are:

X 3 sheet(s) of informal X formal drawings.

     Oath or declaration of Applicant(s).

     A power of attorney

     An assignment of the invention to \_\_\_\_\_

     A Preliminary Amendment

X A verified statement to establish small entity status under 37 C.F.R. §1.9 and §1.27.

The filing fee is calculated as follows:

**CLAIMS AS FILED, LESS ANY CLAIMS CANCELLED BY AMENDMENT**

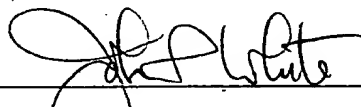
	NUMBER FILED		NUMBER EXTRA*		RATE		FEE	
					SMALL ENTITY	OTHER ENTITY	SMALL ENTITY	OTHER ENTITY
Total Claims	33-20	=	13	X	\$11	\$22	=	\$143.00
Independent Claims	4 -3	=	1	X	\$41	\$82	=	\$41.00
Multiple Dependent Claims Presented: <u>    </u> Yes <u>X</u> No					\$135	\$270	=	\$0
*If the different in Col. 1 is less than zero, enter "0" in Col. 2					BASIC FEE		\$395	\$790
					TOTAL FEE		\$579.00	\$0

Applicants: David Stern et al  
U.S. Serial No.: Not yet known  
Filed: Herewith

Letter of Transmittal  
Page 2

- ☒ A check in the amount of \$ 579.00 to cover the filing fee.
- ☐ Please charge Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required in connection with the following or credit any over-payment to Account No. 03-3125:
- ☒ Filing fees under 37 C.F.R. §1.16.
- ☒ Patent application processing fees under 37 C.F.R. §1.17.
- ☐ The issue fee set in 37 C.F.R. §1.18 at or before mailing of the Notice of Allowance, pursuant to 37 C.F.R. §1.311(b).
- ☒ Three copies of this sheet are enclosed.
- ☐ A certified copy of previously filed foreign application No. \_\_\_\_\_ filed in \_\_\_\_\_ on \_\_\_\_\_.  
Applicant(s) hereby claim priority based upon this aforementioned foreign application under 35 U.S.C. §119.
- ☒ Other (identify) One loose set of drawings and an Express Mail Certificate of Mailing bearing label No. EM 165 673 542 US.
- \_\_\_\_\_
- \_\_\_\_\_
- \_\_\_\_\_

Respectfully submitted,



John P. White  
Registration No. 28,678  
Attorney for Applicants  
Cooper & Dunham LLP  
1185 Avenue of the Americas  
New York, New York 10036  
(212) 278-0400

08997464-12239  
"4942688"

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : David Stern et al.  
U.S. Serial No. : Not Yet Known  
Filed : Herewith  
For : A METHOD FOR EVALUATING THE ABILITY OF A  
COMPOUND TO INHIBIT NEUROTOXICITY

1185 Avenue of the Americas  
New York, New York 10036  
December 23, 1997

Assistant Commissioner for Patents  
Washington, D.C. 20231

Box: Patent Application

Sir:

**EXPRESS MAIL**  
**CERTIFICATE OF MAILING**  
**FOR ABOVE-IDENTIFIED APPLICATION**

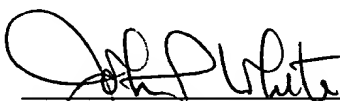
"Express Mail" mailing Label number: EM 165 673 542 US

Date of deposit: December 23, 1997

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Printed Name: Derek Barber

Respectfully submitted,



John P. White  
Registration No. 28,678  
Attorney for Applicant(s)  
Cooper & Dunham LLP  
1185 Avenue of the Americas  
New York, New York 10036  
(212) 278-0400

08997464 1239